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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/805,136	03/19/2004	Matthew F. Davis	8381/ETCH/SILICON/JBI	8916
55649	7590	07/27/2006	EXAMINER	
MOSER IP LAW GROUP / APPLIED MATERIALS, INC. 1040 BROAD STREET 2ND FLOOR SHREWSBURY, NJ 07702			DAHIMENE, MAHMOUD	
		ART UNIT	PAPER NUMBER	
			1765	

DATE MAILED: 07/27/2006

Please find below and/or attached an Office communication concerning this application or proceeding..

Office Action Summary	Application No.	Applicant(s)	
	10/805,136	DAVIS ET AL.	
	Examiner	Art Unit	
	Mahmoud Dahimene	1765	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 08 May 2006.
- 2a) This action is FINAL. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 1-27 and 36-53 is/are pending in the application.
- 4a) Of the above claim(s) 22-27 is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 1-21, 28-53 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____.
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____.	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
	6) <input type="checkbox"/> Other: _____.

Withdrawal of Claim Rejections - 35 USC § 112

Rejection of claims 11, 45 under 35 USC § 112 has been withdrawn in view of the amendments to those claims filed on 05/08/2006 by applicants.

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

3. The applied reference has a common assignee with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art only under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 103(a) might be overcome

by: (1) a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not an invention "by another"; (2) a showing of a date of invention for the claimed subject matter of the application which corresponds to subject matter disclosed but not claimed in the reference, prior to the effective U.S. filing date of the reference under 37 CFR 1.131; or (3) an oath or declaration under 37 CFR 1.130 stating that the application and reference are currently owned by the same party and that the inventor named in the application is the prior inventor under 35 U.S.C. 104, together with a terminal disclaimer in accordance with 37 CFR 1.321(c). This rejection might also be overcome by showing that the reference is disqualified under 35 U.S.C. 103(c) as prior art in a rejection under 35 U.S.C. 103(a). See MPEP § 706.02(l)(1) and § 706.02(l)(2).

4. Claims 1, 15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Fairbairn et al. (US 6,625,497) in view of Choo et al. (US 2004/0078108).

Regarding claims 1, 15, the reference of Fairbairn et al. discloses a semiconductor processing module with integrated feedback/feed forward metrology wherein a method of controlling a process of fabricating integrated devices is described, the method comprises:

measuring a pre-etch dimension (CD) (column 4, line 42) and a post-etch CD (column 12, line 25) of at least one structure on a substrate and

Adjusting a process recipe on an etch process (column 11, line 62) and enabling feedback to the photocell (lithography) (column 5, line 53) (column 10, line 64) or

possibly photoresist trimming or shrinking (column 13, line 44) which are a capabilities for adjusting a process recipe of a pre-etch process.

Fairbairn discloses "A method and apparatus for processing a semiconductor wafer to reduce CD variation feeds back information gathered during inspection of the wafer to a previously visited processing tool and feeds forward information to adjust the next process the wafer will undergo" (abstract).

It is noted that Fairbairn is silent about the "next process" being a post-etch process in particular.

The reference of Choo describes a system and methodology for monitoring and controlling a semiconductor fabrication process. Measurements are taken in accordance with scatterometry based techniques of repeating in circuit structures that evolve on a wafer as the wafer undergoes the fabrication process. The measurements can be employed to generate feed forward and/or feedback control data that can utilized to selectively adjust one or more fabrication components and/or operating parameters associated therewith to adapt the fabrication process (abstratct). The measurements can be utilized to generate feed forward and/or feedback control data that can utilized to selectively adjust one or more fabrication components and/or operating parameters associated therewith to achieve desired results (e.g., critical dimensions within acceptable tolerances and/or mitigation of overlay) (page 1, paragraph 0005). If not, one or more fabrication components and operating parameters associated therewith can be adapted accordingly based upon feedback/feed forward control data derived from the measurements. In one case the measurement could be performed after trench etch.

For instance, the volume, degree of abrasiveness and locations of slurry selectively distributed onto the wafer and/or the degree of pressure applied between a polishing pad and the wafer during a chemical mechanical polishing (CMP) process can be adjusted to mitigate non-uniformity of the structure heights (page 5, paragraph 0042).

Choo clearly teaches measurements results (obtained after a given process step) can be used to forward-control any process step subsequent to the given step.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Fairbairn et al. to extend the feed-forward information (obtained by measuring post-etch dimensions) to adjust the next process the wafer will undergo wherein the next process includes a post-etch process because Choo teaches feed-forward control based on wafer measurements. Choo does not limit the nature of the initial process after which the measurement has been made nor does he limit which subsequent step to control, based on those measurements, suggesting that his method can be applied to any process thereby controlling any subsequent process. One of ordinary skill in the art would have been motivated to extend the feed-forward control of Fairbairn to any post-etch process in order to correct deficiency from the lithography or the etch steps in later post-etch steps as taught by Choo. For example post-etch cleaning parameters can be adjusted if etched holes or trenches show abnormal amount of residues after the etch step.

Claim Rejections - 35 USC § 103

5. Claims 2-5, 8-14, 16-17, 53 are rejected under 35 U.S.C. 103(a) as being obvious over Fairbairn et al. (US 6,625,497) and Choo et al. (US 2004/0078108) as applied to claim 1 above, and further in view of Krivokapic et al. (US 6,567,717).

Regarding claim 2, it is noted that the reference of Fairbairn et al. is silent about executing a multi-pass process.

Krivokapic et al. disclose a semiconductor feed-forward control process wherein "non-conforming post-etch wafers may be returned for further etching if under-etched" (column 10, line 35).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Fairbairn et al. allowing an under-etched wafer to be re-processed or re-etched one or more than once as needed until the desired etch result is obtained which will make it a multi-pass process, the post-etched process of CD measurement will be repeated as well to compare results with a desired CD characteristics, because the reference of Krivokapic et al. teaches that if a wafer is under-etched it can be re-etched. One of ordinary skill in the art, given the capability of immediate post-etched CD measurements, would have been motivated to re-etch an under-etched wafer in order to reduce manufacturing cost associated with either discarding the wafer or proceeding to final tests. Fairbairn recites "In some embodiments, post-etch processing, such as ash stripping, wet cleaning and/or further CD measurement, are performed by the module before the wafer is returned to a cassette" (abstract), it would have been obvious to one of ordinary skill in the art at the

time the invention was made to adjust at least one post-etch process such as cleaning or perform it at least one more time to insure the multi-pass process was successful, which reads on applicants limitation of adjusting at least one post-etch process.

As to claim 3, it is noted that Fairbairn is silent about detecting a failure, however, one of ordinary skill in the art would have been motivated to search and detect a failure of processing equipment, for instance, if the modified method of Fairbairn et al. yields post-etch CD measurements that are consistently out of specification. Equipment failure detection is a routine procedure when a process fault is detected, otherwise manufacturing yield will be greatly affected.

As to claims 4, the reference of Fairbairn et al. discloses "Further exemplary embodiments of the present invention can be implemented. In these embodiments CD at the resist trim and feature etch processes (such as gate etch, shallow trench isolation (STI) trench etch, via etch, contact hole etch, metal etch, etc.) is tightly controlled using feedback and feed forward of CD measurement in real time under controlled environmental conditions" (column 13, lines 14-22). As an example a typical dual-damascene via etch is performed on a substrate including a photoresist layer, a BARC layer, a low-k dielectric, and an etch stop layer. After the BARC open step, the substrate would consist of a photoresist featured layer and a film stack (photoresist and BARC) having at least a featured layer, and a low-k blanket layer, and a film stack (low-k and etch-stop layer) having at least one blanket layer.

As to claim 5, the CD measurements suggested by Fairbairn et al., such as CD-SEM or optical inspection tool (column 4, line 58), are non-destructive measurements.

As to claim 8, Fairbairn et al. discloses a CD measurement ex-situ to the etch chamber (figure 9A-9C).

As to claims 9 ,10, 11, a CD-SEM, as described by Fairbairn, measures topographic dimensions in the same processing system including the etch chamber (figures 9A-C). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Fairbairn, willing to transfer the wafers out of the system, to perform the CD-SEM measurement (described by Fairbairn) ex-situ in the case the CD-SEM is not integrated within the system, or move the wafers to the CD-SEM measuring station if the station is available within the system as suggested by Fairbairn, because Fairbairn teaches the benefits of the measurement (Fairbairn et al. disclose external CD measurement is conventional in the art (column 2, line 40).

As to claim 12, Fairbairn et al. describes in the “background art” section a method where the results of CD measurements are then used to adjust the etch recipe for the remaining wafers in the lot which is at least one subsequent substrate (column 3, line 6).

As to claims 53, 13, one pre-etch process is considered to be the photo cell exposure in the method of Fairbairn et al. (column 4, line 60) performed before pre-etch dimension measurement

As to claim 14, the reference of Fairbairn et al. describes a post etch cleaning (911) process (column 4, line 48) which could be performed after measuring the post etch measurement.

As to claim 16, the reference of Fairbairn et al. describes a processing system including an etch chamber and a CD measurement unit (figures 9A-9C)

As to claim 17, the reference of Fairbairn et al. teaches an external CD measurement is conventional in the art (column 2, line 40).

Claim Rejections - 35 USC § 103

6. Claims 6, 7 and 18 are rejected under 35 U.S.C. 103(a) as being obvious over Fairbairn et al. (US 6,625,497) and Choo et al. (US 2004/0078108) as applied to claim 1, above, and further in view of Perry et al. (US 2004/0087041).

Regarding claims 6, 7 and 18 , it is noted that the reference of Fairbairn et al. is silent about a measuring step which is performed in-situ within the etch chamber, however, the reference teaches the benefit of performing the etch and measurement within the same controlled environment thereby increasing throughput and improving yield.

The reference of Perry et al. describes an in-situ method for controlling a recess etch process wherein interferometry is used to monitor the initial thickness of a top layer or the actual etching of the recess in real time in an etch chamber (pages 3 and 4 , paragraphs 0039 and 0055), the measurement system comprises a process module, data collection and a computer (figure 4A). The interferometry measurement is also conventionally used as an end-point detection.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the method of Fairbairn et al. by including

the etch chamber in-situ measurement system of Perry et al. because etch recess measurement is as important as CD measurement particularly when it is performed within the etch chamber for manufacturing process control and reliability of the final product. One of ordinary skill in the art would have been motivated to use an etch recess measurement for process controllability in order to detect under-etching or over-etching before the wafer leaves the etched chamber.

Claim Rejections - 35 USC § 103

7. Claims 19-21 are rejected under 35 U.S.C. 103(a) as being obvious over Fairbairn et al. (US 6,625,497) and Choo et al. (US 2004/0078108) as applied to claim 1 above, and further in view of Morgenstern (US 2003/0022510)

Regarding claims 19-21, the reference of Fairbairn et al. discloses a trench etch (column 13, lines 14-22), but fails to specifically disclose a trench capacitor.

The reference of Morgenstern (US 2003/0022510) teaches a formation of a capacitive trench structure with a polysilicon electrode layer wherein the etch process is performed with an HBr and Cl₂ chemistry (page 2, paragraphs 0033-0035). The flow rate of HBr:Cl₂ is 45:135 or 1:3 (page 3, paragraph 0044).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the method of Fairbairn et al. by including a capacitive trench structure with a polysilicon electrode layer wherein the etch process is performed with an HBr and Cl₂ chemistry because the reference of Fairbairn et al. teaches the disclosed control method is applicable to any structure. One of ordinary skill

in the art would be motivated to apply the method of Fairbairn et al. to a capacitive structure in order to control the capacitance characteristics and values with a high precision from wafer-to-wafer in a manufacturing environment.

Claim Rejections - 35 USC § 103

8. Claims 36-52 are rejected under 35 U.S.C. 103(a) as being obvious over Fairbairn et al. (US 6,625,497) and Choo et al. (US 2004/0078108) as applied to claim 1 above, and further in view of Krivokapic et al. (US 6,567,717) and Perry et al. (US 2004/0087041).

It is noted that the reference of Fairbairn et al., described above, is silent about a multi-pass process.

Krivokapic et al. disclose a semiconductor feed-forward control process wherein "non-conforming post-etch wafers may be returned for further etching if under-etched" (column 10, line 35), which in effect describes a multi-pass process when the under-etch is performed by design, for example when the etched layer thickness not well controlled.

The reference of Perry et al. discloses a control etch method based on an in-situ thickness measurement step.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Fairbairn et al. allowing an under-etched wafer to be re-processed or re-etched one or more than once as needed until the desired etch result is obtained which will make it a multi-pass process, the post-

etched process of CD measurement will be repeated as well to compare results with a desired CD characteristics and to include the thickness measurement method of Perry et al. allowing wafers which are detected to be under-etched by a post-etch measurement step to be sent back to (or remain in) the etcher for additional etching with duration determined from the differential thickness between the measured etched depth and the target etch depth, or in the method of Fairbairn et al. the CD measurement can be compared to the target waveform and if not matched, the wafer can be re-processed to match the desired profile, the process can be repeated more than once if necessary, because the methods of Krivokapic et al. and that of Perry et al. when combined with the method of Fairbairn et al. will result in further increase of yield and decrease of production cost as initially suggest by Fairbairn et al. when discussing the benefits of feedback and feed-forward controls (column 13, line 8). One of ordinary skill in the art would have been motivated to include a multi-pass process to the control method of Fairbairn et al. in order to be able to insure process performance including the instance where some of the substrate parameters have been changed (e.g. previously deposited film quality), the controlled multi-pass method would be able to correct for such changes by automatic inspection and process adjustments.

As to claim 37, it would be obvious to one of ordinary skill in the art to expect some kind of a failure if the target CD waveform or depth is not achieved after the final inspection step . Detecting equipment failure would be the first obvious trouble-shooting step.

As to claim 38, the reference of Fairbairn et al. discloses "Further exemplary embodiments of the present invention can be implemented. In these embodiments CD at the resist trim and feature etch processes (such as gate etch, shallow trench isolation (STI) trench etch, via etch, contact hole etch, metal etch, etc.) is tightly controlled using feedback and feed forward of CD measurement in real time under controlled environmental conditions" (column 13, lines 14-22). As an example a typical dual-damascene via etch is performed on a substrate including a photoresist layer, a BARC layer, a low-k dielectric, and an etch stop layer. After the BARC open step, the substrate would consist of a photoresist featured layer and a film stack (photoresist and BARC) having at least a featured layer, and a low-k blanket layer, and a film stack (low-k and etch-stop layer) having at least one blanket layer.

As to claim 39, none of the measurements cited in the references above are destructive.

As to claim 40, 41, it is noted that the reference of Fairbairn et al. is silent about a measuring step which is performed in-situ within the etch chamber, however, the reference teaches the benefit of performing the etch and measurement within the same controlled environment thereby increasing throughput and improving yield.

The reference of Perry et al. describes an in-situ method for controlling a recess etch process wherein interferometry is used to monitor the initial thickness of a top layer or the actual etching of the recess in real time in an etch chamber (pages 3 and 4 , paragraphs 0039 and 0055), the measurement system comprises a process module,

data collection and a computer (figure 4A). The interferometry measurement is also conventionally used as an end-point detection.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the method of Fairbairn et al. by including the etch chamber in-situ measurement system of Perry et al. because etch recess measurement is as important as CD measurement particularly when it is performed within the etch chamber for manufacturing process control and reliability of the final product. One of ordinary skill in the art would have been motivated to use an etch recess measurement for process controllability in order to detect under-etching or over-etching before the wafer leaves the etched chamber.

As to claim 42, Fairbairn et al. discloses a CD measurement ex-situ to the etch chamber (figure 9A-9C).

As to claims 43-45, a CD-SEM, as described by Fairbairn, measures topographic dimensions in the same processing system including the etch chamber (figures 9A-C). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Fairbairn, willing to transfer the wafers out of the system, to perform the CD-SEM measurement (described by Fairbairn) ex-situ in the case the CD-SEM is not integrated within the system, or move the wafers to the CD-SEM measuring station if the station is available within the system as suggested by Fairbairn, because Fairbairn teaches the benefits of the measurement (Fairbairn et al. disclose external CD measurement is conventional in the art (column 2, line 40).

As to claim 46, Fairbairn et al. describes in the "background art" section a method where the results of CD measurements are then used to adjust the etch recipe for the remaining wafers in the lot which is at least one subsequent substrate (column 3, line 6).

As to claim 47, one pre-etch process is considered to be the photo cell exposure in the method of Fairbairn et al. (column 4, line 60) performed before pre-etch dimension measurement

As to claim 48, the reference of Fairbairn et al. describes a post etch cleaning (911) process (column 4, line 48) which could be performed after measuring the post etch measurement.

As to claim 49, the reference of Fairbairn et al. discloses a semiconductor processing module with integrated feedback/feed forward metrology wherein a method of controlling a process of fabricating integrated devices is described, the method comprises:

measuring a pre-etch dimension (CD) (column 4, line 42) and a post-etch CD (column 12, line 25) of at least one structure on a substrate and

Adjusting a process recipe on an etch process (column 11, line 62) and enabling feedback to the photocell (lithography) (column 5, line 53) (column 10, line 64) or possibly photoresist trimming or shrinking (column 13, line 44) which are capabilities for adjusting a process recipe of a pre-etch process.

Fairbairn discloses "A method and apparatus for processing a semiconductor wafer to reduce CD variation feeds back information gathered during inspection of the

wafer to a previously visited processing tool and feeds forward information to adjust the next process the wafer will undergo" (abstract).

It is noted that Fairbairn is silent about the "next process" being a post-etch process in particular.

The reference of Choo describes a system and methodology for monitoring and controlling a semiconductor fabrication process. Measurements are taken in accordance with scatterometry based techniques of repeating in circuit structures that evolve on a wafer as the wafer undergoes the fabrication process. The measurements can be employed to generate feed forward and/or feedback control data that can be utilized to selectively adjust one or more fabrication components and/or operating parameters associated therewith to adapt the fabrication process (abstract). The measurements can be utilized to generate feed forward and/or feedback control data that can be utilized to selectively adjust one or more fabrication components and/or operating parameters associated therewith to achieve desired results (e.g., critical dimensions within acceptable tolerances and/or mitigation of overlay) (page 1, paragraph 0005). If not, one or more fabrication components and operating parameters associated therewith can be adapted accordingly based upon feedback/feed forward control data derived from the measurements. In one case the measurement could be performed after trench etch. For instance, the volume, degree of abrasiveness and locations of slurry selectively distributed onto the wafer and/or the degree of pressure applied between a polishing pad and the wafer during a chemical mechanical polishing (CMP) process can be adjusted to mitigate non-uniformity of the structure heights (page 5, paragraph 0042).

Choo clearly teaches measurements results (obtained after a given process step) can be used to forward-control any process step subsequent to the given step.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Fairbairn et al. to extend the feed-forward information (obtained by measuring post-etch dimensions) to adjust the next process the wafer will undergo wherein the next process includes a post-etch process because Choo teaches feed-forward control based on wafer measurements. Choo does not limit the nature of the initial process after which the measurement has been made nor does he limit which subsequent step to control, based on those measurements, suggesting that his method can be applied to any process thereby controlling any subsequent process. One of ordinary skill in the art would have been motivated to extend the feed-forward control of Fairbairn to any post-etch process in order to correct deficiency from the lithography or the etch steps in later post-etch steps as taught by Choo. For example post-etch cleaning parameters can be adjusted if etched holes or trenches show abnormal amount of residues after the etch step. As to claim 50, see discussion for claim 16 above.

As to claim 51, the reference of Fairbairn et al. teaches an external CD measurement is conventional in the art (column 2, line 40). As to claim 52, it is noted that the reference of Fairbairn et al. is silent about a measuring step which is performed in-situ within the etch chamber, however, the reference teaches the benefit of performing the etch and measurement within the same controlled environment thereby increasing throughput and improving yield.

The reference of Perry et al. describes an in-situ method for controlling a recess etch process wherein interferometry is used to monitor the initial thickness of a top layer or the actual etching of the recess in real time in an etch chamber (pages 3 and 4 , paragraphs 0039 and 0055), the measurement system comprises a process module, data collection and a computer (figure 4A). The interferometry measurement is also conventionally used as an end-point detection.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify the method of Fairbairn et al. by including the etch chamber in-situ measurement system of Perry et al. because etch recess measurement is as important as CD measurement particularly when it is performed within the etch chamber for manufacturing process control and reliability of the final product. One of ordinary skill in the art would have been motivated to use an etch recess measurement for process controllability in order to detect under-etching or over-etching before the wafer leaves the etched chamber.

Response to Arguments

9. Applicant's arguments, see pages 9-15, filed 05/08/2006, with respect to the rejection(s) of claim(s) 1 under 35 USC § 102 and claims 2-21, 36-52 under 35 USC § 103, have been fully considered and are persuasive with respect to the fact that the reference of Fairbairn fails to teach or suggest adjusting a process recipe of an etch process for etching the substrate and a process recipe of at least one post-etch process using the results of measuring the dimensions on the structures, as recited in the newly

amended claim 1. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Fairbairn et al. (US 6,625,497) and Choo et al. (US 2004/0078108).

As to the argument that Krivokapic does not teach a multi-pass process wherein the substrate is processed more than once by an etch process and at least one post-etch process while forming the at least one structure, the examiner maintains that the feed-forward method of Krivokapic which returns under-etched wafers to the etch chamber to be re-etched is a multi-pass process. Fairbairn recites “In some embodiments, post-etch processing, such as ash stripping, wet cleaning and/or further CD measurement, are performed by the module before the wafer is returned to a cassette” (abstract), it would have been obvious to one of ordinary skill in the art at the time the invention was made to adjust at least one post-etch process such as cleaning and/or CD measurement or perform it at least one more time to insure the multi-pass process was successful, which reads on applicants limitation adjusting at least one post-etch process.

Conclusion

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mahmoud Dahimene whose telephone number is (571) 272-2410. The examiner can normally be reached on week days from 8:00 AM. to 5:00 PM..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nadine Norton can be reached on (571) 272-1465. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mahmoud
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NADINE NORTON
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